

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Michael Barrett et al.Application No./Patent No.: 10/723,273 Filed/Issue Date: November 25, 2003Titled: DELIVERY METHODS AND DEVICES FOR IMPLANTABLE BRONCHIAL ISOLATION DEVICES

Pulmonx, a Corporation  
 (Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Michael Barrett et al. To: EMPHASYS MEDICAL, INC.

The document was recorded in the United States Patent and Trademark Office at

Reel 015130, Frame 0871, or for which a copy thereof is attached.

2. From: EMPHASYS MEDICAL, INC. To: PULMONX

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Reel 022801, Frame 0191, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet (s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/James M. Heslin/  
 Signature

June 11, 2009  
 Date

James M. Heslin, Reg. No. 29,541  
 Printed or Typed Name

Attorney of Record  
 Title